



CITY OF DURHAM SMALL DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

PROFESSIONAL SERVICES FORMS

Revised 06/08



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The Department of Equal Opportunity/Equity Assurance
Good Things Are Happening In Durham

CITY OF DURHAM EQUAL BUSINESS OPPORTUNITY PROGRAM

Policy Statement

It is the policy of the City to provide equal opportunities for City contracting to small firms owned by socially and economically disadvantaged persons doing business in the City's Contracting Marketplace. It is further the policy of the City to prohibit discrimination against any firm in pursuit of these opportunities, to conduct its contracting activities so as to prevent such discrimination, to correct the present effects of past discrimination and to resolve complaints of discrimination.

Goals

To increase the dollar value of all City contracts for goods and services awarded to small disadvantaged business enterprises, it is a desire of the City that the contractor will voluntarily undertake efforts to increase the participation of socially and economically disadvantaged individuals at higher skill and responsibility levels within non-minority firms engaged in contracting and subcontracting with the City.

The Equal Opportunity/Equity Assurance Director shall establish project specific goals for each project or contract based upon the availability of small disadvantaged business enterprises (SDBE's) within the defined scope of work, delineated into percentages of the total value of the work.

Equal Business Opportunity Ordinance SDBE Participation Documentation

If applicable information is not submitted with your proposal, your proposal will be deemed non-responsive.

Declaration of Performance must be completed and submitted with your proposal.

SDBE Participation Documentation must be used to document participation of Small Disadvantaged Business Enterprise (SDBE) on Professional Services projects. All SDBEs must be certified by the City of Durham's Equal Opportunity/Equity Assurance Department prior to submission date. If a business listed has not been certified, the amount of participation will be reduced from the total utilization.

Managerial Profile must be used to list the managerial persons in your workforce who will be participating in this project.

Equal Employment Opportunity Statement for your company must be completed and submitted with your proposal.

Employee Breakdown must be completed and submitted for the location providing the service/commodity. If the parent company will be involved in providing the service/commodity on the City contract, a consolidated employment breakdown must be submitted.

Letter of Intent to Perform as a Sub-consultant/Subcontractor must be completed for SDBEs proposed to perform on a contract. This form must be submitted with the proposal.

Post Proposal Submission SDBE Deviation

Post proposal submission SDBE deviation participation documentation must be used to report and deviation from SDBE participation either prior to or subsequent to startup of the project. The Equal Opportunity/Equity Assurance Department must be notified if the proposed sub-consultant/subcontractor is unable to perform and for what reasons. Substitutions of sub-consultants/subcontractor, both prior to and after awarding of a contract, are subject to City approval.

SDBE Goals Not Met/Documentation of Good Faith Efforts

It is the responsibility of consultants/contractors to make good faith efforts. Good Faith Efforts means the sum total of efforts by a particular business to provide equitable participation of socially and economically disadvantaged employees and sub-consultants/subcontractors.

Whenever contract alternatives, amendments or extra work orders are made individually or in the aggregate, which increase the total value of the original contract, the consultant must make a good faith effort to increase SDBE participation such that the amounts subcontracted are consistent with the established goals.

**SELECTION OF CONSULTANTS/CONTRACTORS
FOR ARCHITECTURAL/ENGINEERING
AND OTHER PROFESSIONAL SERVICES**

Goal

The purpose is to provide Small Disadvantaged Businesses equal opportunities for participation on City of Durham contracts.

Definition of the Scope of the Selection Policy

The Equal Opportunity/Equity Assurance Director shall establish SDBE participation goals for each contract to be awarded by the City. Project specific goals for each project or contract will be based upon the availability of small disadvantaged business enterprises (SDBE's) within the defined scope of work, delineated into percentages of the total value of the work.

In addition to SDBE's specifically certified by the City and listed in a database maintained by the EO/EA Department, the City of Durham may consider a formal certification of another entity to determine whether an applicant meets requirements of the Equal Business Opportunity Program, provided that the City Manager or designee determines that the certification standards of such entity are comparable to those of the City. The City of Durham has determined that the following certifications are comparable to its own and may be used by bidders/proposers: North Carolina Department of Transportation (N.C. DOT), United States Small Business Administration (U.S. SBA) and Raleigh-Durham Airport Authority (RDU).

In lieu of SDBE's listed in its SDBE System, the City of Durham will accept women and minority firms certified by N.C. DOT, U.S. SBA and RDU as meeting its SDBE goal requirements provided the bidder/proposer submits evidence that the firm is currently certified by one of the stated entities at the time of bid/proposal. Failure to provide evidence of certification may disqualify the firm's participation for the purpose of meeting SDBE goals.

Any firm submitted in this manner will be contacted and urged to complete an abbreviated certification process with the City of Durham. For purposes of this document and associated forms, any reference to a "City Certified SDBE," an "SDBE certified by the City" or similar reference shall include reference to a qualified women or minority owned firm certified and approved in accordance with the above paragraphs, even where specific reference is made to the City SDBE database.

Small Disadvantaged Business Proposal Requirements

The prime consultant/contractor shall submit a proposal in accordance with the City of Durham's request for Proposal. In addition, the prime consultant/contractor must submit all required Professional Services SDBE Forms.

Selection Committee for Professional Services

A selection committee shall be established to be composed of the following: City Manager or a designated representative of this office; Director of Finance or a designated representative of this office; department head responsible for the project; City Engineer if engineering services are involved; the Equal Opportunity/Equity Assurance Director and Purchasing Manager. Other representatives shall be called upon as needed based on their areas of expertise.

The committee shall screen the proposals based on the following criteria:

1. Firms; interest in the project;
2. Current work in progress by firm;
3. Past experience with similar projects;
4. General proposal for carrying out the required work;
5. Designation of key personnel who will handle the project, with resume for each;
6. Proposed associate consultants/contractors, SDBE subconsultants;
7. Indication of capability for handling project;
8. Familiarity with the project;
9. References;
10. SDBE Participation; and
11. Documentation of Good Faith efforts.

After ranking the firms presenting proposals based on the above criteria, interviews will be conducted by the selection committee with the top ranked firms (3-5). The contracting department will make the final recommendation, prepare contracts for review by the City Attorney, and prepare the recommendation for the City Council including the following:

1. Description and scope of the project;
2. Recommended firm;
3. Contract cost;
4. Time limits;
5. Basis for selection;
6. Source for funding;
7. Equal Business Opportunity Ordinance compliance; and
8. Recommendation that the contract be approved by the City Council.

Contract Award

A provision must be written in each contract with an architect or engineer requiring them to work with Equal Opportunity/Equity Assurance Department in creating and identifying separate work.

Project Evaluation

An evaluation shall be made of each contract after its completion to be used in consideration of future professional services contracts. The evaluation shall cover appropriate items from the check list for ranking applicants. A copy of the evaluation shall be given to the consultant, and any comment he/she cares to make shall be included in the files.

DECLARATION OF PERFORMANCE BY CONSULTANT/CONTRACTOR

Briefly address each of the following items:

1. A brief synopsis of the company and the products/services it provides:

2. Describe the normal procedure used on a bid of this type, giving the flow of purchase from the company to the ultimate purchaser:

3. List anyone outside of your company with whom you will contract on this bid:

The undersigned consultant/contractor certifies that: (check appropriate box)

- a) It is the normal business practice of the consultant/contractor to perform all elements of the contract with its own workforce without the use of subcontractors/vendors; and

- b) That the above documentation demonstrates this *firm's* capabilities to perform all elements of the contract with its own work force or without the use of subcontractors/vendors.

- c) The vendor/contractor will use a subconsultant(s) in the fulfillment of this scope of work.

Date

Authorized Signature

**PARTICIPATION DOCUMENTATION
(TO BE COMPLETED BY PRIME CONSULTANT/CONTRACTOR ONLY)**

**Names of all firms
Project (including
prime and
subconsultants/sub-
contractors)**

Location

**SDBE
Firm
Yes/No**

**Nature
of
Participation**

**% of Project
Work**

Names of all firms Project (including prime and subconsultants/sub- contractors)	Location	SDBE Firm Yes/No	Nature of Participation	% of Project Work

TOTAL _____

Name - Authorized Officer of Prime Consultant/Contractor Firm (Print/Type)

Signature - Authorized Officer of Prime Consultant/Contractor Firm

Date

Managerial Profile

Name of Firm: _____

Contact Person: _____

Title: _____

Address: _____

Telephone No.: _____

Date: _____

List the managerial persons in your work force who will be participating in this project, including name, position, and whether the individuals are minority or woman within the definition* of the City of Durham's Equal Business Opportunity Ordinance.

Managerial Employees

NAME	POSITION	SOCIALLY/ECONOMICALLY DISADVANTAGED* (YES/NO)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

* M-Minority(African American) W-Woman Other-H-Hispanic, AI-American Indian, AS-Asian American, Handicapped

EQUAL EMPLOYMENT OPPORTUNITY STATEMENT
(You may submit your organization's EEO policy in lieu of this sheet)

EMPLOYEE BREAKDOWN

Part A – Employee statistics for the primary location.

				M—a—l—e—s					F—e—m—a—l—e—s				
Employment category	Total employees	Total males	Total females	White	Black	Hispanic	Asian or Pacific Islander	Indian or Alaskan Native	White	Black	Hispanic	Asian or Pacific Islander	Indian or Alaskan Native
Project manager													
Professional													
Technical													
Clerical													
Labor													
Totals													

Part B – Employee statistics for the consolidated company. *(See instructions for this form on whether this part is required.)*

				M—a—l—e—s					F—e—m—a—l—e—s				
Employment category	Total employees	Total males	Total females	White	Black	Hispanic	Asian or Pacific Islander	Indian or Alaskan Native	White	Black	Hispanic	Asian or Pacific Islander	Indian or Alaskan Native
Project manager													
Professional													
Technical													
Clerical													
Labor													
Totals													

Letter of Intent to Perform as a Sub-Consultant

The undersigned intends to perform work in connection with the above project as a SDBE:

- Minority (African American) • Woman • Hispanic •
- American Indian • Asian American • Handicapped •

The SDBE status of the undersigned is certified by the City of Durham as identified by the attached copy of certification or the attached SDBE Contractor Identification List supplied by the EO/EA Department.

The undersigned is prepared to perform the following described work in connection with the above project (specify in detail particular work items or parts thereof to be performed):

You have projected the following commencement date for such work, and the undersigned is projecting completion of such work as follows:

<u>ITEMS</u>	<u>PROJECTED COMMENCEMENT DATE</u>	<u>PROJECTED COMPLETION DATE</u>

The consultant will subcontract _____% of the dollar value of this contract to a SDBE subconsultant/subcontractor and/or non-SDBE subconsultant/subcontractor.

The undersigned will enter into a formal agreement in the amount of \$ _____ for the above work with you, conditioned upon your execution of a contract with the City of Durham.

Name _____ Title _____
 Company _____ Telephone _____
 Address _____
 Signature _____

REQUEST TO CHANGE SDBE PARTICIPATION

Project: _____

Name of bidder or consultant: _____

Name and title of representative bidder or consultant: _____

Address (including zip code): _____

Telephone number: _____ Fax number: _____

Email address: _____

Total amount of original contract, before any change orders or amendments: _____

Total amount of the contract, including all approved change orders and amendments to date, but not counting the changes proposed in this form: _____

Dollar amount of changes proposed in this form: _____

The proposed change (*check one*) **increases** **decreases** the dollar amount of the bidder's/consultant's contract with the City.

Does the proposed change decrease the SDBE participation? (*check one*) **yes** **no**

If the answer is **yes**, complete the following:

BOX A. For the subcontract proposed to be changed (increased, reduced, or eliminated):

Name of subconsultant: _____

Goods and services to be provided before this proposed change: _____

Is it proposed to eliminate this subcontract? yes no

If the subcontract is to be increased or reduced, describe the nature of the change (*such as adding \$5,000 in environmental work and deleting \$7,000 in architectural*): _____

Dollar amount of this subcontract before this proposed change: _____

Dollar amount of this subcontract after this proposed change: _____

This subconsultant is (*check one*):

- 1. City-certified Black-owned SDBE
- 2. City-certified Women-owned SDBE
- 3. City-certified SDBE that is neither Black-owned nor women owned, but to be credited as
 - 3(a) Black-owned SDBE
 - 3(b) Women-owned SDBE
- 4. not a City-certified SDBE

BOX B. Proposed subcontracts other than the subcontract described in Box A above

Name of subcontractor for the new work: _____

Goods and services to be provided by this proposed subcontract: _____

Dollar amount proposed of this proposed subcontract: _____

This subcontractor is (*check one*):

- 1. City-certified Black-owned SDBE
- 2. City-certified women-owned SDBE
- 3. City-certified SDBE that is neither Black-owned nor women owned, but to be credited as
 - 3(a) Black-owned SDBE
 - 3(b) Women-owned SDBE
- 4. not a City-certified SDBE

Add additional sheets as necessary.

SDBE GOALS NOT HAVING BEEN MET. The following information must be presented by the consultant concerning good faith efforts taken.

It is the responsibility of consultants to make good faith efforts. Any act or omission by the City shall not relieve them of this responsibility. For future efforts, it shall be comprised of such efforts which are proposed to allow equitable participation of socially and economically disadvantaged employees and sub-consultants/subcontractors. The City Manager shall apply the following criteria, with due consideration of the quality, quantity, intensity and timeliness of efforts of consultants/contractors, in determining good faith efforts to engage SDBEs along with other criteria that the City Manager deems proper:

Name of Bidder: _____

*If you find it helpful, feel free to attach pages to explain your answers. **How many pages is your firm attaching to this questionnaire?** _____ (Don't count the 2 pages of this questionnaire.)*

If a yes or no answer is not appropriate, please explain the facts. All of the answers to these questions relate only to the time before your firm submitted its bid or proposal to the City. In other words, actions that your firm took after it submitted the bid or proposal to the City cannot be mentioned or used in any answers.

1. SOLICITING SDBEs.

- (a) Did your firm solicit, through all reasonable and available means, the interest of all SDBEs certified (that is, in the City's database) in the scope of work of the contract? **yes** **no**
- (b) In such soliciting, did your firm advertise? **yes** **no** Are you attaching copies to this questionnaire, indicating the dates and names of newspaper or other publication for each ad if that information is not already on the ads? **yes** **no**
- (c) In such soliciting, did your firm send written (including electronic) notices or letters? Are you attaching one or more sample notices or letters? **yes** **no**
- (d) Did your firm attend the pre-bid conference? **yes** **no**
- (e) Did your firm provide interested SDBEs with timely, adequate information about the plans, specifications, and requirements of the contract? **yes** **no**
- (f) Did your firm follow up with SDBEs that showed interest? **yes** **no**
- (g) With reference to the SDBEs that your firm notified of the type of work to be subcontracted , did your firm tell them:
 - (i) the specific work your firm was considering for subcontracting? **yes** **no**
 - (ii) that their interest in the contract is being solicited? **yes** **no**
 - (iii) how to obtain and inspect the applicable plans and specifications and descriptions of items to be purchased? **yes** **no**

2. BREAKING DOWN THE WORK.

(a) Did your firm select portions of the work to be performed by SDBEs in order to increase the likelihood that the goals would be reached? **yes** **no**

(b) If **yes**, please describe the portions selected. **ANSWER:**

3. NEGOTIATION. In your answers to 3, you may omit information regarding SDBEs for which you are providing Form E-105.

(a) What are the names, addresses, and telephone numbers of SDBEs that you contacted? **ANSWER:**

(b) Describe the information that you provided to the SDBEs regarding the plans and specifications for the work selected for potential subcontracting. **ANSWER:**

(c) Why could your firm not reach agreements with the SDBEs that your firm made contact with? Be specific. **ANSWER:**

4. ASSISTANCE TO SDBEs ON BONDING, CREDIT, AND INSURANCE.

(a) Did your firm or the City require any subcontractors to have bonds, lines of credit, or insurance? **yes** **no** (Note: In most projects, the City has no such requirement for *subcontractors*.)

(b) If the answer to (a) is **yes**, did your firm make efforts to assist SDBEs to obtain bonds, lines of credit, or insurance? **yes** **no** If **yes**, describe your firm's efforts. **ANSWER:**

(c) Did your firm provide alternatives to bonding or insurance for potential subcontractors? **yes** **no** If **yes**, describe. **ANSWER:**

5. GOODS AND SERVICES. What efforts did your firm make to help interested SDBEs to obtain goods or services relevant to the proposed subcontracting work? **ANSWER:**

6. USING OTHER SERVICES.

(a) Did your firm use the services of the City to help solicit SDBEs for the work? **yes** **no**
Please explain. **ANSWER:**

(b) Did your firm use the services of available minority/women community organizations, minority and women contractors' groups, government-sponsored minority/women business assistance agencies, and other appropriate organizations to help solicit SDBEs for the work? **yes** **no**
Please explain. **ANSWER:**

NC 54 I-40 Corridor Study

Name	Mailing Address	City	State	Zipcode	Gender/Race ID	Contact	Phones	Services	Licenses
B & F Consulting, Inc.	2805 Tobermory Lane	Raleigh	NC	27606	W	Amber Farrelly	919-389-8102; fax 919-467-8827; afarrelly@nc.rr.com	Civil Engineering; Consulting Services	NC BOE Engineering Lic. #2149
B&E Jackson & Associates, Inc.	229 Peachtree Street N. E.	Atlanta	GA	30303	M	Birdell F. Jackson, III	404-577-4914; fax 404-577-1613;	Civil Engineering; Construction Management	GA P.E. License # 002367; NC P.E. License # 008129
BREE & Associates, Inc.	PO Box 675	Durham	NC	27702	M	Robert Lancaster	919-469-3330; fax 919-469-3370; 919-6963338; breeinc@bellsouth.net	Construction Administration and Management; Cost Estimating; Claims; Scheduling; Planning; Inspections; Civil Engineering; Traffic Engineering and Transportation Planning, Studies & Data Collection; CEI; Design Support Activities; AutoCAD & Drafting	City of Durham Privilege License; NC Board of Examiners for Engineers & Surveyors Lic. #C-2125
Capstone Civil Group	PO Box 44434	Charlotte	NC	28215	M	Charles Brown	704-567-0769; fax 704-567-0974; cbrown@capstonecivilgroup.eng.pro	Civil engineering; geotechnical Engineering, Constr. Mat. Testing	Engineering
CH Engineering, PLLC	P O. Box 30128	Raleigh,	NC	27622	W	Maha Chambliss	919-788-0224; fax 919-788-0232; mchambliss@ch-engr.com	Transportation/Roadway Design; Storm Drainage Design; Utilities Design; Wastewater & Water Design; General Civil Engineering and Surveying.	N.C. Board of Engineers P-0189

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Fitzgerald & Halliday, Inc.	72 Cedar Street	Hartford	CT	6106	W	A. Ruth Fitzgerald	860-247-7200;fax860-247-7206;rfitzgerald@fhiplan.com	Transportation Planning; Environmental Planning; Permitting; Traffic Studies; Urban Planning; Public Involvement	
Greene Transportation Solution, PC	2405 Grayson Creek Drive	Wake Forest	NC	27587	W	Christa Greene	919-210-5116;christa@greenetransportation.com;cell919-210-5116	Transportation Engineering Services;Transportation Planning	NC PE license
H. Richardson & Company, Inc.	5104 Sky Lane Drive	Durham	NC	27704	M	Henry Richardson	919-956-8240;fax919-530-1770;jrichardson54@nc.rr.com;cell201-4123	General Contracting (Building, Highway); Construction Inspection; Technical Assistance Provider to Engineers and Land Surveyors, such as: Field Testing , Data Collection ; traffic control ; erosion control ; asphalt or pavement repair; steel fabrication	General Contracting License #49668; Building and Highway Construction: Limited
John Davenport Engineering, Inc.	305 West Fourth Street - Suite 2A	Winston-Salem	NC	27101	M	John M. Davenport, Jr.	336-744-1636;336-458-9377; jdavenport@davenportengineering.com	Transportation Engineering Services	North Carolina Engineering License #02566
KFH Group, Inc.	4920 Elm Street, Suite 350	Bethesda	MD	20814	W	Sue Knapp	301-951-8660;fax301-951-0026;sknapp@kfhgroup.com	transportation and traffic consultants	

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KLS Engineering	21525 Ridgetop Circle, Suite 140	Sterling	VA	20166	AS	Leverson Boodlal	703-444-7686;fax703-444-7688;cell703-314-3397;	engineering services; transportation planning; traffic engineering; highway/roadway safety	
Kubilins Transportation Group, Inc.	201 Production Drive, 2nd Floor	Yorktown	VA	23693	W	Margaret A. Kubilins	757-594-1419;fax757-594-9010;mkubilins@kubilins.com	Traffic & Transportation Engineering Traffic Impact Studies; Signal System Timing & Design; Roadway Sign & Pavement Marking Design	Va PE #0405 000809; NC PE License # C-0987
MA Engineering Consultants, Inc.	598 East Chatham Street, Suite 137	Cary,	N.C.	27511	AS	Arvin Maniktala	919-297-0220;fax919-297-0221;cell 919-427-4903;maec@maec.com	civil, structural, environmental, electrical & mechanical engineering; surveying; highway/bridge design; utility location & excavation	NC Board of Engineers #F-0160
Mekuria Engineering, Inc.	2517 Farlow Gap Lane	Raleigh	NC	27603	M	Belayneh Mekuria	919-833-8743;f919-833-8743;c919-539-6070;Bmekuria@nc.rr.com	Traffic Counts, Traffic Impact Analysis, Travel Time Studies, Traffic Signal Design, Signal System Timing & Implementation, System Training and Roadway Design, Traffic Engineering	NC Board of Examiners for Engineers & Surveyors C-2739
Morton & Morton Design Services, PLLC	9121 Anson Way, Suite 101	Raleigh	NC	27615	W	Jeanell Morton	919-882-6066;919-256-7609;jdmorton@nc.rr.com	traffic engineering services	NC Board of Examiners for Engineers and Surveyor Certificate#P-0568

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NFE Technologies, Inc.	117 International Drive	Morrisville	NC	27560	AS	Dr. Vinnie Goel	919-469-4800; fax 919-319-8400; vgoel@nfetech.com	Geotechnical; Drilling; Inspection; Laboratory Materials Testing; Civil, Structural & Environmental Engineering and Design Services; General Construction and Management; Demolition and Renovations; Information Technology and Computer Related Services	NC P.E. Certificate No. 18283; NC Engineer License C-1031
Planners for Environmental Quality, Inc.	6067 Roosevelt Highway	Union City	GA	30291	M	Inga D. Kennedy	770-306-0100; fax 770-306-7754; inga@bellsouth.net; c678-428-2070	Urban & Regional Planning; Transportation Planning; Environmental Planning; Public Relations	
Rohadfox Construction Control Serv. Corp.	411 W. Chapel Hill Street, Ste. 512	Durham	NC	27701	M	Rebekah Rohadfox	919-682-5741; fax 919-683-3072; rccsc@rccsc.net	Construction Management-Project Controls (Scheduling, Estimating, Value Engineering); Resident Inspection; Claims Analysis; Quality Assurance/Quality Control; Mechanical, Sanitary, Civil, Structural, Environmental Engineering; General Contractor	Building (Unlimited) No. 16079; NC Privilege License

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SEPI Engineering Group, Inc.	1025 Wade Avenue	Raleigh,	N.C.	27605	W	Sepideh Asefnia	919-789-9977;fax919-789-9591;dvinogradov@sepiengineering.com	Consultant services for traffic and transportation engineering; traffic impact studies; signal system timing and design; roadway and structural design; noise and air analysis; construction management; environmental planning; and water resources	N.C. Board of Examiners for Engineers & Surveyors
Simpson Engineers & Associates, PC	5520 Dillard Dr., Ste. 120	Cary	NC	27518	M	David Simpson	919-852-0468;fax919-852-0598;dsimpson@simpsonengr.com	consulting engineering;structural/traffic engineering;bridge & road design	NC Engineering License
T3 Design, P. C.	3927 Old Lee Highway, Suite 101-C	Fairfax	VA	22030	W	Leslie Prokop	703-359-5861;fax703-359-5863;lprokop@t3design.us	Traffic and Intelligent Transportation System (ITS), planning, engineering design	Commonwealth of VA Engineering License

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